



11-05-03

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and Deposit: November 4, 2003

By:

(Signature of person depositing mail)

MARITZA O'NEILL

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE
TISSUE WITH FLEXIBLE REGION TO
ACCOMMODATE MICROMOVEMENT*

Examiner: Unknown

Art Unit: Unknown

Attorney Docket No.: 130588.00045

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Type of Filing:

- 1) Information Disclosure Statement
- 2) Form PTO-1449 (2 pages)
- 3) Cited Reference (1)
- 4) Return postcard

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE
TISSUE WITH FLEXIBLE REGION TO
ACCOMMODATE MICROMOVEMENT*

Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action.

It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

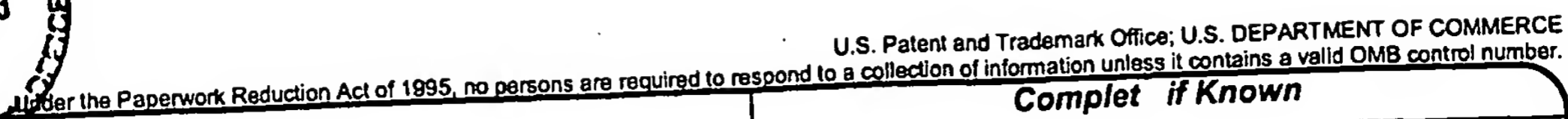
No fee is believed due with this mailing. The Commissioner is hereby authorized to charge any cost that may be due to Deposit Account No. 17-0055.

Respectfully submitted,
QUARLES & BRADY STREICH LANG, LLP

Dated: November 3, 2003

By: Robert D. Atkins
Robert D. Atkins, Reg. No. 34,288

Attorney Docket No. 130588.00045
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/623,821
Filing Date	July 21, 2003
First Named Inventor	Jiping He
Art Unit	
Examiner Name	
Attorney Docket Number	130588.00045

[illegible]

Examiner Signature	/Lee Cohen/	Date Considered	03/13/2007
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Examiner Signature	/Lee Chen/	Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

23 SEP 1997 and 1998. The information is required to obtain or retain a benefit by the public which is to file (and by the public estimated to take 2 hours to complete.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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No collection of information unless it contains a valid OMB control number.

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Complete If Known

Application Number	10/623,821
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Filing Dat	July 21, 2003
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First Named Inventor	Jiping He
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Art Unit

Examiner Name

Attorney Docket Number	130588.00045
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Sheet

2

of

2

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

/Lee Cohen/

Date
Considered

03/13/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE
TISSUE WITH FLEXIBLE REGION TO
ACCOMMODATE MICROMOVEMENT*

Art Unit: 3762

Examiner: Unassigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97**

Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action.

Please note the information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in co-pending foreign application PCT/US03/22539 not more than three months prior to the filing of this statement. Specifically, the information was cited in an International Search Report (ISR) mailed November 25, 2003. A copy of the ISR is provided.

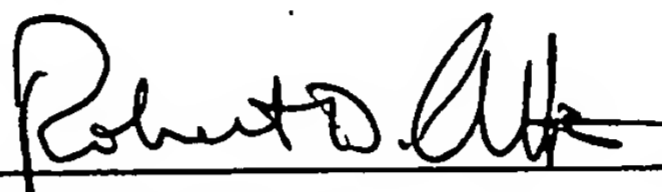
It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

He *et al.* ^{2A}
USSN: 10/623,896
Supplemental Information Disclosure Statement

No fee is believed due with this mailing. The Commissioner is hereby authorized to charge any cost that may be due to Deposit Account No. 17-0055.

Respectfully submitted,
QUARLES & BRADY STREICH LANG, LLP

Dated: December 16, 2003

By: 
Robert D. Atkins
Reg. No. 34,288

Attorney Docket No. 130588.00045
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Facsimile: 602-229-5361
Email: ratkins@quarles.com

**Complete if Known**

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1

2

Attorney Docket Number	130588.00045
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Examiner Signature	/Lee Cohen/	Date Considered	03/13/2007
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Applicati n Numb r	10/623,821
		Filing Dat	July 21, 2003
		First Named Inventor	Jiping He
		Art Unit	3762
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	130588.00045

OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/LC/	G	International Search Report in co-pending application PCT/US03/22539	

Examiner Signature	/Lee Cohen/	Date Considered	03/13/2007
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Date of Signature
and Deposit: December 16, 2003

By:

(Signature of person depositing mail)

MARITZA O'NEILL

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE
TISSUE WITH FLEXIBLE REGION TO
ACCOMMODATE MICROMOVEMENT*

Examiner: Unknown

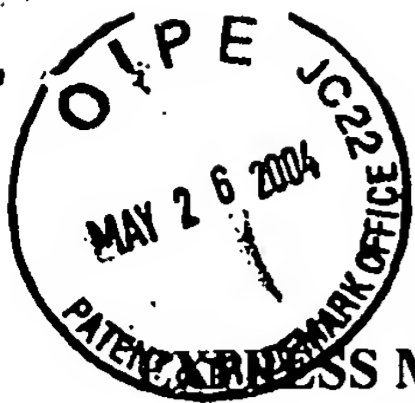
Art Unit: 3762

Attorney Docket No.: 130588.00045

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Type of Filing:

- 1) Supplemental Information Disclosure Statement
- 2) Form PTO-1449 (2 pages)
- 3) Cited References (7)
- 4) Copy of International Search Report
- 5) Return postcard



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EXPRESS MAIL CERTIFICATE: EL988555421US

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Date of Signature
and Deposit: May 26, 2004

By: 

(Signature of person depositing mail)

MARITZA O'NEILL

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

Applicant: He *et al.*
Serial No.: 10/623,821
Filed: July 21, 2003
For: *ELECTRODE FOR IMPLANT IN LIVE
TISSUE WITH FLEXIBLE REGION TO
ACCOMMODATE MICROMOVEMENT*

Examiner: Unassigned

Art Unit: 3762

Attorney Docket No.: 112624.00044

MAIL STOP AMENDMENT
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Type of Filing:

- 1) Supplemental Information Disclosure Statement (2 pages)
- 2) Form PTO-1449 (1 page)
- 3) Copy of International Search Report issued in related application
PCT/US03/22539 (7 pages)
- 4) Cited References (6)
- 5) Return postcard



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE
TISSUE WITH FLEXIBLE REGION TO
ACCOMMODATE MICROMOVEMENT*

Art Unit: 3762

Examiner: Unassigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97**

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Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
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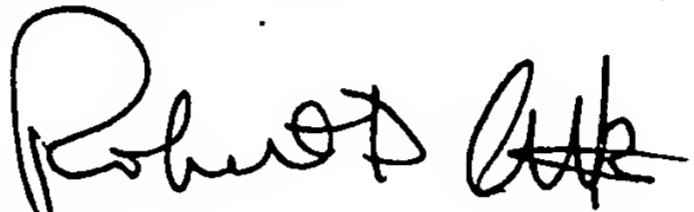
It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

He *et al.*
USSN: 10/623,821
Supplemental Information Disclosure Statement

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Respectfully submitted,
QUARLES & BRADY STREICH LANG, LLP

May 26, 2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

1

Application Number	10/623,821
Filing Date	July 21, 2003
First Named Inventor	Jiping He
Art Unit	3762
Examiner Name	
Attorney Docket Number	112624.00044

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
ILC/	E	EP 1053762 A2	11/22/00	Codman & Shurtleff, Inc.		
	F	WO 01/72201	10/04/01	Arizona Board of Regents		

03/13/2007

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